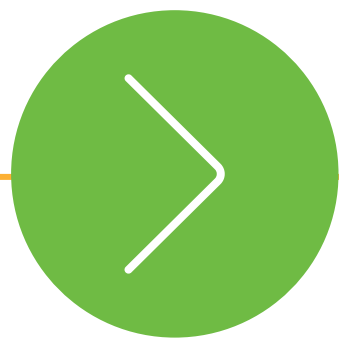


Item 11
Receive and File Report from the
FY 2023 Triennial Review
Performed by the FTA

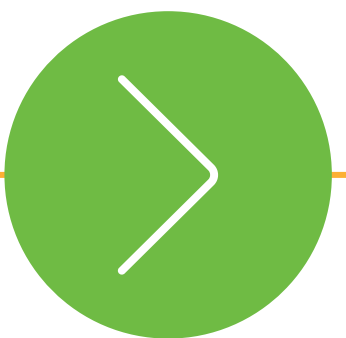


Cynthia Duque, Director of Planning and Marketing



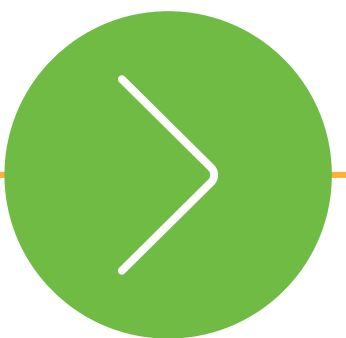
SUMMARY

- The FTA performs a triennial review in accordance with its procedures to evaluate completely the performance of a recipient in carrying out its programs, specifically referring to compliance and statutory requirements.
- FTA conducted a virtual site visit between June 8-June 14, 2023
- The triennial review focused on compliance in 23 areas
- One deficiency was recorded - Technical Capacity - Award Management



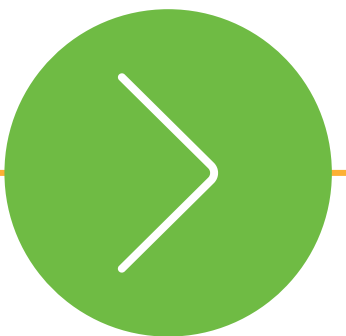
DEFICIENCY DESCRIPTION

- Recipients must submit a Federal Financial Report (FFR) for each award that provides a current, complete, and accurate financial picture. Recent progress reports indicated that GCTD had open contracts (for example, paratransit operations, payroll system fees, ZEB strategy), but the corresponding FFRs did not report any unliquidated obligations.
- Unliquidated obligations are binding commitments that have been entered into and for which expenditures have not yet been recorded because goods and services have not been received. The FFRs reviewed should have reported unliquidated obligations for these open contracts.
- This deficiency is a repeat finding from the 2019 Triennial Review.



CORRECTIVE ACTION

- GCTD's third quarter FFRs were updated in TrAMS (FTA's grant system) to include unliquidated obligations as applicable.
- In addition, GCTD provided to the Los Angeles Office its revised Financial Management Manual, that includes a description of GCTD's procedures for calculating and recording unliquidated obligations in all future FFRs.
- This finding was closed on August 18, 2023.



CONCLUSION

It is **RECOMMENDED** that the Board receive and file the **FY 2023 Triennial Review Performed by the U.S. Department of Transportation, Federal Transit Administration Region 9.**

THANK YOU

