

2019 TRIENNIAL REVIEW: PRELIMINARY FINDINGS

PRESENTATION TO GCTD BOARD OF DIRECTORS
OCTOBER 2, 2019



2019 Triennial Review



- **The Federal Transit Administration (FTA) conducted a Triennial Review of GCTD Transit on Sept 19**
- **The review is required every three years, (by Congress) and is FTA's assessment of a grantee's compliance with federal requirements.**
- **Examination of 21 areas of GCTD's operations.**
- **Our Lead Reviewer: Bobby Killebrew, Qi Tech, LLC**

2019 Triennial Review



KEY DATES

- **Sept 19-20** - On site Review
- **Sept 27** - Reviewers (Qi Tech) sent draft Report to FTA.
- **CURRENT** - FTA Region IX San Francisco Office, FTA HQ, and FTA Subject Matter Experts (SME) reviewing.
- **October 22** - Draft Report due to Gold Coast Transit within 21 days.
- **November 11** - GCTD must submit comments on Draft Report to FTA and the Lead Reviewer within 10 business days.
- **November 26** - Final Report to Gold Coast Transit within 45 business days after exit conference, will be addressed to GCTD Board Chair.
- **February 24 2020** – Corrective Action Due Date (preliminary)

Preliminary Findings



NO Findings in...

- Legal
- Technical Capacity – Program Management
- Transit Asset Management
- Satisfactory Continuing Control
- Maintenance
- Title VI – Civil Rights
- ADA – General
- ADA – Paratransit
- Equal Employment Opportunity
- School Bus
- Charter Bus
- Disadvantaged Business Enterprise
- Drug-Free Workplace Act
- Drug and Alcohol Program
- 5307, 5310 & 5311 Program

Preliminary findings in four areas

- Financial Management
- Award Management
- Satisfactory Continuing Control
- Procurement
- ~~Disadvantaged Business Enterprise~~ - Resolved

Preliminary Findings



1. Financial Management and Capacity

Preliminary Finding

GCTD must develop and submit to the FTA regional office policies and procedures that allow for the preparation of required reports, tracking of award funds, and addressing required elements and provide evidence of implementing financial management systems to accurately account for and report on federal funds.

Due Date Feb 24, 2020

2. Technical Capacity – Award Management

GCTD must submit revised reports that include the missing/corrected information and/or addresses FTA comments and submit to the FTA regional office procedures for including all required information in future reports. If GCTD is no longer able to submit the reports electronically, it must upload hard copies of the reports to TrAMS and notify the regional office when the revised reports are submitted.

Due Date Feb 24, 2020

Preliminary Findings



3. Satisfactory Continuing Control

Gold Coast Transit District must submit to the FTA regional office evidence that it has performed the physical inventory of FTA-funded equipment and has reconciled them to records, along with procedures for doing so.

Due Date Feb 24, 2020

Preliminary Findings



4. Procurement

Gold Coast Transit District must provide the FTA regional office revised procurement procedures that address inclusion of all FTA-required third party contract clauses through use of a clause checklist or other mechanism. For the next procurement, submit to the FTA regional office documentation that the required process was implemented.

Due date Feb 24, 2020

Triennial Review



- **Preparing for the Triennial Review was an intensive team effort on part of all Departments. While GCTD received four findings, the reviewers were highly complementary of staff.**
- **Draft Report to GCTD October 22**
- **Final Report to GCTD Board Chair November 26.**
- **GCTD's staff are fully prepared to implement any corrective action to the findings and feel confident that the corrective action is achievable to resolve by the due date of February 24, 2020.**



Thank you